

17 MAR 2006  
PCT  
1AP7 Rec'd PGT/PTO

PATENT  
Attorney Docket No. MKC-005

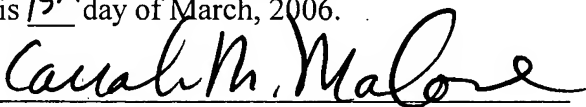


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): He *et al.* CONFIRMATION NO.: Not yet assigned  
SERIAL NO.: 10/549,778 GROUP NO.: Not yet assigned  
FILING DATE: September 19, 2005 EXAMINER: Not yet assigned  
TITLE: RECOVERY OF DNA

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 15<sup>th</sup> day of March, 2006.

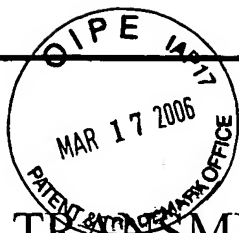
  
Carrah M. Malone

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page);
2. Information Disclosure Statement (3 pages);
3. Form PTO-1449 citing references A1-A2, B1-B2, and C1-C4 (1 page);
4. Copies of references B1-B2 and C1-C4; and
5. Return Receipt Postcard.



# TRANSMITTAL FORM

Application Serial Number	10/549,778
Filing Date	September 19, 2005
First Named Inventor	He
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	MKC-005
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input checked="" type="checkbox"/> Information Disclosure Statement (3 pgs.) <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations B1-B2 and C1-C4	<input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Amendment After Allowance	
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Goodwin Procter LLP  
Exchange Place  
Boston, MA 02109  
Tel. No.: (617) 570-1000  
Fax No.: (617) 523-1231  
Customer No. 051414

## SIGNATURE BLOCK

Respectfully submitted,  
  
Date: March 15, 2006  
Reg. No. 58,312  
Tel. No.: (617) 570-1657  
Fax No.: (617) 523-1231  
  
*Randall D. Morin*  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): He *et al.* CONF. NO.: Not yet assigned  
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TITLE: RECOVERY OF DNA

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), only copies of the foreign patent documents and non-patent publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and

- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### **STATEMENT**

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Information Disclosure Statement  
U.S. Serial No.: 10/549,778  
Atty. Docket No.: MKC-005

Respectfully submitted,

Date: March 15, 2006  
Reg. No. 58,312

Tel. No.: (617) 570-1657  
Fax No.: (617) 523-1231

VER 9/00  
LIBC/2699738.1



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Randall D. Morin  
Attorney for Applicants  
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Exchange Place  
Boston, Massachusetts 02109  
Goodwin Customer No. 051414



FORM PTO - 1449				ATTORNEY DOCKET NO.: MKC-005					
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): He <i>et al.</i>					
				SERIAL NO.: 10/549,778					
				FILING DATE: 09/19/2005 GROUP: Not yet assigned					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A1	6 291 170 B1	09/18/2001	Van Gelder et al.					
	A2	6 379 932 B1	04/30/2002	Arnold et al.					
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENG LANG (Y/N)
	B1	0 549 107 A	06/30/1993	EP			10/09/92		Y
	B2	01/38556 A1	05/31/2001	WO			11/22/00		Y
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C1	Dixon et al. (October 1998) "Expression profiling of single cells using 3 prime end amplification . (TPEA) PCR" Nucleic Acids Research 26: 4426-31.							
	C2	He et al. (July 2002) "Ribosome Display: Cell-free protein display technology" Briefings in Functional Genomics and Proteomics 1: 204-12.							
	C3	International Search Report, Transmittal for Written Opinion of the International Searching Authority, and Written Opinion of the International Searching Authority for International Patent Application Serial Number PCT/GB2004/001211, dated 08/17/04, 11 pages.							
	C4	United Kingdom Patent Office Search Report for Patent Application Serial Number 0306305, dated 08/12/03, 1 page.							
EXAMINER					DATE CONSIDERED				